

APPLICATION & CONTRACT FOR CERTIFICATION WORK

APPLICATION TYPE									
Complying Development	Certificate Construction Certificate								
DEVELOPMENT SITE DETAILS									
Lot No.: Section No.	: DP/SP No.:								
Street Address.:									
Suburb:		Postcode.:							
Existing Site Use:									
THE DEVELOPMENT									
Description of Development:									
Value of Work:	Building Classification:								
Proposed Development Use:									
If any bonded asbestos material or friable asbestos material will be disturbed, repaired, or removed in carrying out the development, what is the estimated square metre area of the material?									
COMPLYING DEVELOPMENT - Relevant State Environmental Planning Policy (SEPP) the application is to be assessed under									
SEPP (Exempt & Complying Development Codes) 2008 SEPP (Housing) Secondary Dwellings & Group Homes 2021									
SEPP (Transport & Infrastructure) 2021 SEPP (Resources & Energy) 2021									
Other (Please note which SEPP)									
DEVELOPMENT CONSENT No.									
App No.:	Approving Council:		Date Issued:						
AUSTRALIAN BUREAU OF	STATISTICS (Pleas	e complete the following)							
The number of storeys (including underg	,								
The gross floor area of the building (m ²)		J							
The gross site area of the land on which the building is to be erected (m²)									
The number of existing dwellings on the subject site									
The number of existing dwellings to be demolished									
The number of dwellings to be included in									
Is the new building to be attached to any									
Does the site contain a dual occupancy?									
MATERIALS — Please indicate the materials to be used in construction of the new building/s									
Walls	Code	Roof		ode					
Brick (double)	☐ 11 ☐ 12	Tiles		10					
Brick (veneer) Concrete/stone	☐ 12 ☐ 20	Concrete/slate		20					
Fibre cement	30	Fibre cement		30					
Timber	☐ 40	Steel		60					
Curtain glass	☐ 50	Aluminium		70					
Steel	☐ 60	Other Not specified		80					
Aluminium cladd	☐ 70	Not specified		90					
Timber/wboard	☐ 40								
Other	□ 80								
Not specified	□ 90								
Frame	Code	Floor	C	ode					
Timber	□ 40	Concrete/slate		20					
Steel	☐ 40	Timber		40					
Aluminium	70	Other	Ä	80					
Other	□ 70 □ 80	Not specified		90					
Not specified	<u> </u>								
l									

OWNER DETAILS								
Name:								
	ostal Address: Suburb:			Postcode:				
Phone: Mobile: Email:								
APPLICANT DETAILS (if different to Owner details above)								
Name: Postal Addr			Postcode:					
Phone:		Mobile: Email:		1 0000000.				
	OF PRIN	CIPAL CONTRACTOR /		IIII DER				
	ed Builder	Owner Builder	TBA	Builder or OB Lice	nce Number:			
Name:		Address:						
Phone:		Mobile:	Email:					
		rincipal CERTIFIER D for a Construction Certificate (C			owners must sign this application			
Certificate (OC) or any other application or modification required or related to this development. I/We appoint Buildcert NSW Pty Ltd (Accreditation No. RBC8) as the Principal Certifier (PC) as outlined in the Environmental Planning & Assessment Act, 1979 (as amended). We consent to the certifying authority, or an accredited certifier, or consent authority, entering the subject property at any reasonable time, for the purpose of carrying out any mandatory critical stage inspection as required by the Act, or a regulatory inspection where required. Where a Complying Development application requires neighbour notification in accordance with the Environmental Planning & Assessment Regulation 2021, I/we agree to allow Buildcert to notify occupants of neighbouring properties of the subject site with the applicant name, address, phone number and notification plan (pre-approval notification - Clause 134), and/or details of the approved development (pre-construction notification - Clause 148). V. I/We acknowledge that I/we must comply with all relevant DA or CDC conditions and/or pre-conditions of consent nominated in the approval documentation prior to works commencing, and that the PC can't be appointed until all pre-conditions of consent have been complied with. Vi. I/We advise that building works have not commenced, and will not commence, until 2 days after the Appointment of PC and Notice of Commencement have been provided to Council. Vii. I/We declare that all the information provided is true and correct. I/We also understand that, if incomplete, the application may be delayed or rejected, and further information may be requested. Viii. I/We agree to receive approval documentation via electronic communication. I/We have read Buildcert NSW Pty Ltd's Contract for Certification Work (Annexure A) and understand the role and responsibilities of each party to the Contract. I/We agree to the execution of the Contract. I/We request and consent to the lodgement of this application to the NSW Planning Portal on my/								
Owner	Applicant	Name	Position (i	f company owned)	Signature			
		•	•		•			

Date signed: __

An application may NOT be made by a person who will carry out the building work, unless that person owns the land on which the work is to be carried out. An application may be made by any other person, provided the owner has given written consent.
The Principal Certifier (PC) may NOT be appointed by a person who will carry out the building work, unless that person owns the land.

Application received on: ____

INTERNAL USE ONLY

- The PC is to be appointed by the person who has the benefit of the Development Consent (ordinarily the owner).
- If signing for the owner, state your legal authority and provide documentary evidence (e.g. copy of Power of Attorney, trust deed, etc.)
 If signing on behalf of a body corporate or company, the application should be signed by an authorised representative of the Company, and the name and position of authority in the Company must be stated on the form.



CONTRACT FOR CERTIFICATION WORK

Annexure A

FFFCT OF AGREEMENT

- 1.1 The client has engaged Buildcert NSW Pty Ltd (Buildcert) RBC8 as the Principal Certifier (PC) or any of the Employees of Buildcert nominated in Clause 1.5 of this contract to perform certification work as per the terms and conditions set out in this agreement
- 1.2 The client is the owner as specified in the Buildcert application form.

 1.3 If ownership of the property changes hands prior to completion of works, a contract with the new owner must be entered in to before any works continue past the date of sale.
- 1.4 This agreement supersedes and overrides any other documents or oral representations upon which the parties may seek to rely to generate
- oral representations upon which the parties may seek to rely to generate any legal effect or to imply any contractual obligation.

 1.5 The PC is an accredited body corporate obligation.

 1.5 The PC is an accredited body corporate obligation and is authorised to carry out the certification work which is the subject of this Agreement.

 1.6 Employees of Buildcert who may provide services under this contract are as listed: Adam Leafe BDC2238; Adrian Smith BDC3130; Ahmed Chami BDC4762; Anya (Ari) Ehteshami BDC490492; Belal Ahmadi BDC4904; Brock Manuel BDC4767; Constance Bailey BDC4978); Dan Chapman BDC288; Dane Ceccato BDC3155; David Bowen BDC3114; David Chesterfield BDC2786; David Ngoyi BDC4778; Elliotte Kim BDC4588; Hassan Younis BDC4871; Isaak Richardson BDC3051; James McHale BDC4995; Jarrod Edwards BDC44942; Jason Ritson BDC2241; Jesse Graham BDC4651; Jessie Nasif BDC2895; Josh Finucane BDC4580; Joshua Treadwell BDC3216; Kaine Tarlinton BDC0872; Karl Walsih BDC333; Lachlan Herbert BDC487265; Lauren BDC0872; Karl Walsh — BDC3333; Lachlan Herbert — BDC4852; Lauren Piper — BDC1514; Luke Powell — BDC2937; Matthew Norman — BDC4872; Lauren Piper — BDC3107; Megana Kelly — BDC2430; Michelle Thomcroft - BDC3304; Min Woo Kim - BDC0522; Mitch Ayre - BDC04943; Mitchell Tarlinton — BDC2528; Nathan Wallace — BDC2733; Paul Koen — BDC2437; Raware Abou-Azar — BDC3217; Ray Williams — BDC0779; Rodney Molyneux — BDC4914; Ryan Hucherko — BDC4764; Simon Bond — BDC4733; Stephen Melville — BDC2188; Thomas Burke — BDC4600; Thomas Dawes — BDC2914; Tristan Fahey — BDC4873; Ty Adamthwaite — BDC2834; Warwick Green – BDC0146; William McHale — BDC4884; Zahra Hamed — BDC3652; Zari Cansdell — BDC4675.

 1.6 The Client agrees that the PC is a public authority in accordance with the Civil Liability Act 2002.

CONTRACT DOCUMENTATION

2.1 The contract will be formalised and executed on the date the construction approval is issued. Plans and specifications forming part of the approval will be endorsed with the related approval number.

INSURANCE DETAILS

3.1 Insurer: Bishopsgate, Policy No. B0831IN0025523 , Period 8 May 2023 to 8 May 2024

OBLIGATIONS OF THE CERTIFIER

Issuing of Construction Certificates or Complying Development Certificates: 4.1 The PC or Certifier shall perform all work that is necessary to comply

- 4.1 The PC or Certifier shall perform all work that is necessary to comply with relevant statutory requirements and to facilitate the timely determination of an application, to issue a development certificate to the Client, and to endorse plans, specifications and other documentation used to determine the application with a reference identifier number specific to the development:

 a) Once the Client pays the PC any money owed for work associated with the issuing of a development certificate;
 b) Once design of the Building complies with the Development Consent and the Regulations or any prescribed Complying Development criteria by either the State Government or local Council; and
 c) The design is capable of complying with the BCA
 4.2 The PC shall provide copies of approval documentation to Council and any other relevant statutory authority within 2 days of the date of determination, as required by the EP&A Regulation.
 4.3 The PC may request as many Certificates or statements from any

- 4.3 The PC may request as many Certificates or statements from any
- 4.3 The PC may request as many certificates or statements from any Certifying Authority or any other party that the PC considers necessary in addition to any Certificates listed in the Certificates Schedule.

 4.4 The PC shall carry out as many inspections as the PC considers necessary in addition to those nominated in the Inspection Schedule.

 4.5 The PC shall issue an Occupation Certificate for the Building Works when the PC is satisfied that:-
- a) A Development Consent has been complied with or a Complying Development Certificate is in force for the Building Works; and
- Development Certificate is in force for the Building Works; and b) The Building Works are suitable for occupation or use in accordance with their classification under the BCA; and c) Use of the Building does not pose any danger for the occupants.

 4.6 The PC shall maintain an insurance policy in accordance with the B&DC Act and shall provide a copy of that policy to the client upon

OBLIGATIONS OF THE CLIENT

- 5. The Lieff Stall?.
 5.1 Pay to the PC the agreed fees identified in Buildcert's formal fee agreement for determination of a development certificate, and/or PC services on or before the lodgement of the application, in accordance with Part 5, Cl 29 of the BDC Regulation.
- with Fart 3, or 29 in the Doc Regulation.

 5.2 The Certifier reserves the right to withhold the issue of any certificates until such time as all outstanding invoices have been paid in full. Any agreement to vary this must be writing.

 5.3 Acknowledge that lodgement of an application with Buildcert confirms acceptance of the terms and conditions of Buildcert's fee agreement and certification centred:
- agreement and certification contract.

 5.4 Provide full disclosure as to any other PC appointed prior to entering in to this contract.
- S.5 Not engage any other PC after the PC appointed pursuant to this contract has been engaged. Breach of this condition will entitle the PC to recover any losses or costs of whatsoever nature that flow from such
- 5.6 Provide all relevant drawings, plans, statutory plans, and any other related information or documents reasonably requested by the PC to
- related information or documents reasonably requested by the PC to fulfill its obligations under this agreement.

 5.7 Ensure that all documents and information provided by the Client are complete, current, accurate and unambiguous. The Client acknowledges that the PC is entitled to rely on information and documents provided by the Client, but is not under any duty to verify the accuracy or completeness of such information. The Client indemnifies the PC from any costs, expenses, losses, damages, claims, liability, demands, suits and proceedings suffered or incurred by, or made against, the PC in respect of the PC's reliance on the documents supplied by or on behalf of the Client, or in relation to any third party placing any reliance on the performance of the PC's services under this Agreement.

 5.8 Notify Bullcaert in writing if any application to modify the Development Consent has been made or approved at the time of making

- an application for a CC, or at any time post CC approval.
 5.9 Ensure that a development certificate has been issued prior to the
 commencement of any works.
 5.10 Provide the PC with all requested Pre-Commencement items to
 allow PC appointment at least 2 days prior to commencement of any
 works in regional areas, or 7 days in Category 1 metropolitan areas.
 5.11 Ensure that all critical stage inspections are booked as specified in
 the Inspection Schedule.

- 5.11 Ensure that all critical stage inspections are booked as specified in the Inspection Schedule.

 5.12 Ensure that the site is accessible for the PC to carry out its contractual obligations.

 5.13 Use suitably qualified or experienced contractors for all aspects of the Building Work.

 5.14 Attend any site meetings if requested by the PC.

 5.15 Comply with any Written Direction Notices that the PC may issue.

 5.16 Ensure compliance with all conditions of any Development Consent, including those related to any demolition works.

 5.17 Provide Compliance Certificates as requested.

 5.18 Act in good faith, in accordance with the EP&A Act, and in a cooperative manner.

 5.19 Apply for, and provide all documentation required to allow the issue of an Occupation Certificate authorising full use of the development, within two years of the date of the development certificate. Failure to comply with this requirement shall entitle the PC to terminate the contract, or to charge additional fees to extend the contract.

VARIATIONS TO THIS AGREEMENT

6.1 If any part of the Building Works are re-designed by the Client or the Client's representative: or

6.2 If any part of the Building is designed pursuant to a Deemed To 6.2 If any part of the Building is designed pursuant to a Deemed 10 Satisfy provision of the BCA, and is subsequently changed by way of a Performance Solution; or 6.3 If the PC determines that additional development certificates are required to be issued; or 6.4 If a change in the EP&A Act or the BCA on or after the date of this control of the PCA and the Control of the PCA and the PC

agreement requires any aspect of the Building Works or the PC's work to

6.5 If the PC is required to undertake additional inspections to those

6.5 If the PC is required to undertake additional inspections to those nominated in the fee agreement or invoice; or 6.6 If the PC is required to issue more Occupation Certificates than those nominated in the fee agreement or invoice; or 6.7 If the Client does anything that causes a delay to the Building Works or does anything that delays the ability of the PC to carry out its

- of does anything fant dealys the ability of the PC to carry out its obligations under this contract, or 6.8 If any Notice is issued by the PC, then the PC may:- a) Vary this agreement to the extent that the PC will be able to carry out its contractual obligations; and b) Increase the contract price, such increase to be made by way of written notice to the Client stating the reason/s for the increase and the amount of the increase. amount of the increase.
- 6.9 The variation will permit the PC to claim all costs associated with a delay as reasonably determined by the PC.
- delay as reasonably determined by the PL.

 6.10 The PC reserves the right to charge the Client to investigate noncompliances where the Client has acted outside the scope of the
 conditions of the Development Consent at the hourly rate plus GST
 relevant to the level of accreditation required to undertake the work Building Inspector \$120; Building Surveyor restricted (Class 1 & 10
 buildings) \$150; Building Surveyor restricted (all Classes of buildings)
- buildings) *310, building surveyor (restricted) * \$250.

 6.11 Notice must be given to the Client in writing when the PC becomes aware that a variation or any unforeseen contingencies occur, within 21 days after the completion of that work.

TERMINATION OF AGREEMENT

- 7.1 If the Client fails to pay any money owing to the PC after 7 days of that money becoming payable; or 7.2 If the Client has an execution levied against it, assigns or attempts to assign its estate for the benefit of its creditors, intends to or makes a assign is estate for the benefit of its creditors, intends to or makes a composition or Scheme of Arrangement with creditors, has a winding up order made against it, intends to or attempts to pass a resolution for winding up, goes into liquidation, has an Official Manager or Receiver appointed, has a Mortgagee taking possession of any part of its property, has an Administrator or a Provisional Liquidator appointed, becomes insolvent or bankrupt; or 7.3 If the Client breaches the contract in any respect; or 7.4 If it is not possible to issue the development certificate 6 months
- 7.4 If it is not possible to issue the development certificate 6 months from the date of execution of this contract; or

- from the date of execution of this contract; or 7.5 If the Building Works have commenced without the issuing of a development certificate, then:-7.6 The PC may terminate this contract by sending a written Notice of Termination, stating the breach/s, to the Client. Termination will take effect as soon as the Client receives the Notice of Termination. 7.7 On receiving a Notice of Termination, the Client must take immediate action to appoint a replacement PC to take over the certification role; advise Buildcert of that replacement; and notify all relevant Authorities of that replacement
- Tall If the PC terminates the contract, then the PC is entitled to payment of Termination Money.

 7.9 Unless the Client disputes the Notice of Termination, the Client must pay all Termination Money to the PC within 14 days of receiving a Notice
- 7.10 If the PC terminates the contract, the PC is entitled to carry out a
- 7.10 If the PL terminates the contract, the PL is entitled to carry out a final inspection, at the owners' expense, prior to termination.

 7.11 As from the date of final inspection, the Client must indemnify the PC for any liabilities, including but not limited to professional liability and public liability, of whatsoever nature that emanate from:

 a) The need to terminate this contract or the Building Contract;

 b) Any matter of force requires with the TRPA A for a pub post of the
- b) Any matters of non-compliance with the EP&A Act on the part of the Client or any other contractors.

DISPUTE RESOLUTION

- 8.1 Any dispute of whatever nature to do with this contract must be referred to mediation.
 8.2 If either party believes there is a dispute under this contract it must give Notice of the dispute to the other party.
 8.3 The mediator must be appointed by the AAC.
 8.4 The mediation will be invoked by either party serving Notice on the AAC and the other party within 7 days of a party being notified of a dispute.
- uspute.
 8.5 Both parties must attend the mediation and must cooperate with the mediator and each other and shall give the mediator whatever the mediator requests.
 8.6 If the mediator resolves the dispute, this must be evidenced by a
- written agreement that is signed by the mediator and the parties. 8.7 If mediation fails, either party may take action to resolve the dispute in a court of competent jurisdiction. 8.8 Both parties will remunerate the mediator on a 50/50 basis,
- 8.8 Both parties will remunerate the mediator on a 50/50 basis, regardless of any alleged fault and regardless of the outcome.
 8.9 The AAC may request mediation funds to be placed into an AAC account before the mediation commences and may request payment of additional moneys from time to time until the mediation is concluded.

LIMITATION OF LIABILITY

- 9.1 The PC's liability to the Client in any event is limited to the fees paid by the Client to the PC under this Agreement.
- 9.2 The PCS liability under this Agreement is reduced to the extent caused or contributed to by any wilful or negligent act or omission or default by the Client.

 9.3 Neither party is liable to the other party for any economic or indirect
- or consequential losses and damages, loss of actual or anticipated revenue, profits, increased capital or financing cost, increase of operational cost or increased cost of borrowing, pure economic loss or
- operational cost or increased cost of borrowing, pure economic loss or exemplary or punitive damages.

 9.4 The PC does not accept any liability, whether directly or indirectly, for any losses or damages associated with the inability to issue an Occupation Certificate due to, but not limited to, unapproved or illegal building works, non-compliance with the conditions of any approvals or consents, unsatisfactory final inspection, missed critical stage inspections, consents, unsatisfactory linal inspection, imised critical stage inspections, non-compliance with approved building plans or failure to pay any fees and charges to any authority or the PC under this Agreement.

 9.5 Each party releases the other party from all further obligations or liability from the date which is 12 months after the final inspection by the Certifier, or where a final inspection has not been requested by the Client, the last inspection carried out by the Certifier, except for any claim or dispute that has been notified in writing before that date.

MISCELLANEOUS

10. Occupation Certificates

10. Occupation Certificate

10. 1f, for whatever reason, an Occupation Certificate is not issued whether it be on account of the insolvency of the Client, the disappearance of the Client or the termination of the Contract, the PC's responsibilities under the Contract cease forthwith. With respect to any liabilities that may be occasioned under Part 4 of the EP&A Act, the PC will be able to give evidence that no action can be brought 10 years after the date upon which the act that evidenced the ending of the Contract

occurred.

10.2 Upon application being made for a development certificate, the
Applicant (not being entitled to copyright) is taken to have indemnified all
persons using the application and any accompanying documents in persoits using ute application and any accompanying occurrents in accordance with the EP&A Act against any claim or action in respect of breach of copyright.11.1 The PC must take any steps considered appropriate to address any non-compliances with the development consent. This may include, but is not limited to, attending the site to inspect any issue of concern relating to the development, and referring any matter of concern to any other relevant statutory authority.

11.2 Where any Written Direction Notice is to be forwarded to the Client, the address for such Notice shall be the annificant address stated in the the address for such Notice shall be the applicant address stated in the Application for a development certificate, or to any other address that is notified in writing by the Client to the PC.

COMPLIANCE FUNCTIONS

 $11.1\,\mathrm{The}$ PC must take any steps considered appropriate to address any non-compliances with the development consent. This may include, but is not limited to, attending the site to inspect any issue of concern relating to the development, and referring any matter of concern to any other

to the development, and referring any matter of concern to any other relevant statutory authority.

11.2 Where any Written Direction Notice is to be forwarded to the Client, the address for such Notice shall be the applicant address stated in the Application for a development certificate, or to any other address that is notified in writing by the Client to the PC.

The EP&A Act means the Environmental Planning and Assessment Act 1979 (NSW). All amendments and references to the EP&A Act also mean amendment and references to the Regulations.

AAC means the Association of Australian Certifiers.

Applicable environmental planning instrument means the State Environmental Planning Policy or the Local Environmental Plan nominated by the Client as the instrument against which an application for a Complying Development Certificate is to be assessed.

BCA means the Building Code of Australia including all applicable amendments.

amendments.

BDC Act means the Building and Development Certifiers Act 2018
(NSW). All amendments and references to the BDC Act also mean amendment and references to the Regulations.

Building Contract means the contract to construct the Building Works that the Client enters into with the buildier.

Building Morks means the building works for which a Construction.

the Client enters into with the builder.

Building Works means the building works for which a Construction Certificate or Complying Development Certificate is to be issued in accordance with this contract and is referred to in the Address of the Building Works and the Tille Particulars of the site.

Certificates mean statutory and non-statutory certificates.

Certification work means:

a) the determining of an application for a development certificate;
b) the issue of a development certificate;
c) carrying out the functions of a PC;
d) carrying out inspections for the purposes of Section109E(3)(d) of the EPAA Act.

EP&A Act.

Complying Development Certificate (CDC) means a Complying Development Certificate within the meaning of the EP&A Act.

Certifier means any appropriately accredited person authorised by the PC to carry out any works in association with the determination of an application or inspection of works.

Construction Certificate (CC) means a Construction Certificate within the meaning of the EP&A Act.

Contractor licence means a licence issued under the Home Building Act 1989.

1989.

Development certificate means either a Complying Development Certificate or a Construction Certificate. Development Consent means a Development Consent within the meaning of the EP&A Act. Inspection Schedule means the Inspection Schedule provided in the Notice of Inspections within the meaning of the EP&A Act. Notice includes any notice issued under the EP&A Act or this contract. Occupation Certificate means an Occupation Certificate within the meaning of the EP&A Act. Owner-builder permit has the meaning given to it by the Home Building Act 1989.

PC means a principal certifier appointed under Section 109E of the EP&A

Act.

Performance Solution has the same meaning as the term in the BCA.

Performance Solution means the date the builder has completed the Building Works in accordance with the Building Contract.

Regulations means the NSW EP&A Regulation 2021, the NSW EP&A (DC&FS) Regulation 2021 and all applicable amendments.

Residential building work has the meaning given to it by the Home Building Act 1989.

Termination Money means the money owing to the PC if the PC terminates the contract in accordance with this contract, being money for

terminates the contract in accordance with this contract, being money for work done (with interest if applicable) and for cost incurred (with interest if applicable) and for cost incurred (with interest if applicable) and any money that the Client would have been bound to pay to the PC if the contract had been totally completed.

END OF TERMS AND CONDITIONS - V2022.1

Parramatta NSW 2124

Tel: 02 9895 0111 TTY: 1300 723 404

ABN 81 913 830 179

www.fairtrading.nsw.gov.au

Information about registered certifiers – building surveyors and building inspectors

Important: this is a summary document only.

Before signing any contract for certification work, make sure you understand your obligations and what you are agreeing to. The Fair Trading website has more information about certifiers

Under section □31(2) of the □ Building and Development Certifiers Act 2018 □ and clause □31 of the □ Building and Development Certifiers Regulation 2020, □ a contract □ to carry out certification

work must □ be accompanied by □ an applicable document made available on the website of the Department of Customer □ Service (which includes NSW Fair Trading)¹. This □ is the applicable document for certification work □ involving a certifier registered □ in the classes of □ building surveyor or building inspector, working in either the private sector or for a local council.

This document summarises the statutory obligations of the registered certifier who will assess your development and your obligations as the applicant for the development. It also sets out the types of information that can be found on Fair Trading's online register of registrations and approvals.

Obligations of registered certifiers

The general obligations of registered certifiers include compliance with their conditions of registration, to hold professional indemnity insurance, comply with a code of conduct and avoid conflicts of interest.

Who does a registered certifier work for?

A registered certifier is a public official and independent regulator, registered by the Commissioner for Fair Trading.

Certifiers must carry out work in a manner that is impartial and in the public interest, even if this is not in the interests of the applicant, developer, or builder. Receiving a certificate is not guaranteed – the applicant is paying for the certifier to assess the application and determine whether or not a certificate can be issued.

It is a serious offence to attempt to bribe or influence a certifier, attracting a maximum penalty of \$110,000 and/or two years imprisonment.

¹ Visit www.fairtrading.nsw.gov.au and search 'certification contracts'.

Obligations of the applicant

An applicant is the person seeking a certificate, or engaging a certifier for other certification work, under the *Environmental Planning and Assessment Act 1979*.

As an applicant, you have the following obligations:

- Appoint, and enter into a contract with, your chosen certifier.
- Pay the certifier's fees before any certification work is carried out.
- Communicate with your builder to ensure the certifier is notified when work reaches each stage. If a mandatory inspection is missed, the certifier may have to refuse to issue an occupation certificate.
- Follow any written direction issued by the certifier and provide any requested additional information to assess an application.
- Meet the conditions of any development consent and ensure the development is carried out in accordance with the approved plans.

What does a registered building surveyor do?

Registered **building surveyors** issue certificates under the *Environmental Planning and Assessment Act 1979* (construction certificates, complying development certificates and occupation certificates), act as the **principal certifier** for development, and inspect building work.

The principal certifier will attend the site to carry out mandatory inspections at certain stages. When construction is complete, the certifier may issue an occupation certificate, which signifies that the work:

- is 'suitable for occupation' this does not necessarily mean all building work is complete
- is consistent with the approved plans and specifications
- meets all applicable conditions of the approval.

The certifier does not manage or supervise builders or tradespeople or certify that the builder has met all requirements of the applicant's contract with the builder.

What does a registered building inspector do?

Registered **building inspectors** carry out inspections of building work² with the approval and agreement of the principal certifier. Building inspectors are not authorised to issue certificates or be appointed as the principal certifier.

² Building inspectors may inspect class 1 and 10 buildings under the Building Code of Australia; that is, houses, duplexes and the like; garages and sheds; and structures such as swimming pools, retaining walls and fences.

Principal certifier enforcement powers

If the principal certifier becomes aware of a non-compliance with the development approval, the certifier must, by law, issue a direction to you and/or the builder, requiring the non-compliance to be addressed. If it is not, the certifier must notify the council which may take further action.

The certifier is also required to respond appropriately if a complaint is made about the development.

Finding more information on certifiers

Details of the class of registration each certifier holds, their period of registration, professional indemnity insurance and disciplinary history can be found at www.fairtrading.nsw.gov.au:

- Details of registered certifiers (or search 'appointing a certifier' from the homepage)
- Disciplinary actions against certifiers (or search 'certifier disciplinary register' from the homepage).

Questions?

The Fair Trading website www.fairtrading.nsw.gov.au has information about certifiers, enforcement powers, how to replace a certifier and resolving concerns about a certifier:

- Search 'what certifiers do' for information about a certifier's role and responsibilities.
- Search 'concerns with development' for information about enforcement powers of certifiers, councils and Fair Trading, and how to resolve concerns about a certifier.

The NSW Planning Portal at www.planningportal.nsw.gov.au provides information on the NSW planning and development certification system.

Note, although Fair Trading regulates certifiers, it does not mediate in contract disputes and does not regulate the actions of councils. Visit the Fair Trading website for more information.

 \odot State of New South Wales through Department of Customer Service 2020